

SANCTIONS FOR COVERED PERSONS AND COVERED HORSES UNDER PROPOSED EQUINE RULES

POSITIVE TEST, USE, POSSESSION

SANCTIONS FOR COVERED PERSONS

• Primary Substance = Up to 2 Years

- Up to 4 years if aggravating circumstances or second violation within 10 years
- Up to a lifetime sanction if a third or more violations within 10 years
- Secondary Substance = Up to 30 Days and a Fine
 - Up to 2 years if aggravating circumstances or a fourth or more violations (of this type, i.e., minor infraction) within 5 years

OTHER VIOLATIONS⁺

SANCTIONS FOR COVERED PERSONS

- Whereabouts* = Up to 1 year
- Evasion, Tampering, Administration of Primary Substance, Trafficking, Complicity, and Retaliation = Up to 2 years
- Failure to Cooperate, Administration of Secondary Substance, and MCRV** = Up to 30 Days and a Fine

SANCTIONS FOR COVERED HORSES

- Race Day Violations = Automatic Disgualification
- 0-14 Months or Life based on the Substance or Method
- 12 Months for a Whereabouts or an Evasion/Refusal Violation

Based on Proposed Equine Rules released November 11, 2021

⁺ Aggravating circumstances and multiple violation multipliers apply to these violations

- * 3 Whereabouts Failures in 12 months for those with under 50 horses and 6 in 12 months for those with more than 50 horses
- ** Medication Control Rule Violation as defined in the Proposed Equine Protocol